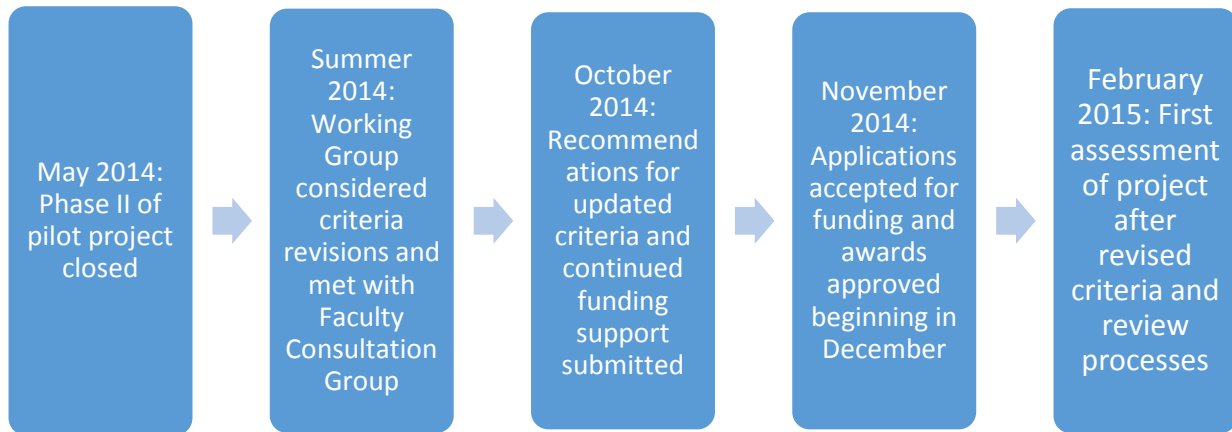


**University of Kansas One-University Open Access Publishing Fund**

<http://library.kumc.edu/authors-fund.xml>

**I. Reimplementation Timeline**



**II. Faculty Consultation Group**

- a. Faculty from KU Lawrence, KUMC-Kansas City and KUMC-Wichita gathered in conference rooms and via teleconference
- b. Working group brought forth specific recommendations via revised criteria and heard feedback and further recommendations from faculty
- c. Outcome of the conversation
  - i. Implemented a monthly schedule of review to award \$3,000 in funds each month with a maximum of \$1,500 per requesting author.
  - ii. Prioritization of applicants
  - iii. The goal remains to maintain an fund allowing for equitable distribution across KU campuses over the course of one year for faculty, staff, and student publishing in quality OA journals

**III. Updated Criteria**

- a. Applicant status weighted: Graduate student, pre- or post-tenure, research staff
- b. Grant recipients weighted on funding agency allowing funds for APC coverage
- c. First time applicants weighted
- d. \$1,500 funding cap based on average \$1,400/article during pilot
- e. Monthly schedule of competitive review with \$3,000/month awarded; 4 month expiration for awards
- f. Previous criteria of who may apply, frequency of awards, and journal quality remain

**IV. Looking forward**

- a. Budgetary challenges: Support from OA Board to advocate for this
- b. Next steps: Continued analysis of fund, criteria, administrative procedures and OA advocacy

**V. Results to Date**

	<b>KU (Lawrence &amp; Edwards)</b>	<b>KUMC (KC, Wichita &amp; Salina)</b>	<b>Total</b>
<b>Requests approved<sup>1</sup></b>	<b>3</b>	<b>7</b>	<b>10</b>
<b>Requests denied</b>		<b>4</b>	<b>4</b>
<b>Pending requests</b>	<b>5</b>	<b>4</b>	<b>9</b>
<b>TOTAL Requests received<sup>2</sup></b>	<b>8</b>	<b>15</b>	<b>23</b>

**Reasons funding denied:** Journal or publisher quality in question (2), funding cap expended for month to applicants with higher prioritization points, funds requested as a reimbursement

**Approved Requests by Discipline**

- a. **Faculty/staff awards:** Family Medicine, Preventive Medicine and Public Health (3) , Radiation Oncology, Surgery (KU Med); Applied Behavioral Science (Lawrence)
- b. **Graduate student awards:** Neurosurgery (KU Med); Sociology, Psychology (Lawrence)
- c. Of approved 3 report grants used to produce research, all report that the grants do not allow for APC

**Article Processing Charges (APC)**

	<b>Total APCs Charged</b>	<b>Amount requested</b>
<b>Average of all applications</b>	<b>\$1,244</b>	<b>\$1,064</b>
<b>Median of all applications</b>	<b>\$1,300</b>	<b>\$1,200</b>
<b>Sciences &amp; Medicine Average</b>	<b>\$1,373</b>	<b>\$1,130</b>
<b>Sciences &amp; Medicine Median</b>	<b>\$1,350</b>	<b>\$1,275</b>
<b>Humanities/Social Sciences Average</b>	<b>\$829</b>	<b>\$829</b>
<b>Humanities/Social Sciences Median</b>	<b>\$966</b>	<b>\$966</b>

Of approved 3 report grants used to produce research, all report that the grants do not allow for APC

**Funding Availability**

- **\$14,850.09** remains available for approved applicants after 4 months of awards (Nov – Feb)
- **\$2,477** expended thus far on two approved applicants
- Phase I was fully encumbered just over 3 months; Phase II within 6 months
- The current strategy is on track to allow for approximately 5 more months of approval

<sup>1</sup> Two approved requests have been paid. The first applications will expire in April 2015.

<sup>2</sup> As of February 24, 2015. Pending requests will be reviewed early March 2015.